

# THE 1958 PEKERIS-ACCAD-WEIZAC GROUND-BREAKING COLLABORATION THAT COMPUTED GROUND STATES OF TWO-ELECTRON ATOMS (AND ITS 2010 REDUX)<sup>1</sup>

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WE HAVE COME A LONG WAY

In order to appreciate how well off we mathematicians and scientists are today, with extremely fast hardware and lots and lots of memory, as well as with powerful software, both for numeric and symbolic computation, it may be a good idea to go back to the early days of electronic computers and compare how things went then. We have chosen, as a case study, a problem that was considered a huge challenge at the time. Namely, we looked at C.L. Pekeris's [9] seminal 1958 work<sup>4</sup> on the ground state energies of two-electron atoms. We went through all the computations *ab initio* with today's software and hardware.

SCHRÖDINGER

Let's recall the (time-independent) Schrödinger equation for the *state function* (alias *wave function*)  $\psi(x, y, z)$  of a one-electron atom with a stationary nucleus (see, for example, [8] Eq. (30-1) with  $N = 1$ ), in *atomic units*:

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} + 2 \left( E + \frac{Z}{r} \right) \right) \psi(x, y, z) = 0,$$

where  $Z$  denotes the nuclear charge,  $E$  the energy of the system, and  $r = \sqrt{x^2 + y^2 + z^2}$  the distance of the electron to the nucleus.

Schrödinger's solution of this eigenvalue problem is one of the greatest classics of modern physics, familiar to all physics students (and chemistry students, but unfortunately not math), using separation of (dependent) variables, and getting explicit and exact results for the eigenvalues (the possible energy levels  $E$ ) and even for the corresponding eigenfunctions  $\psi$ . Because the eigenfunctions (or more precisely their squares) are interpreted as probability distributions, certain restrictions have to be imposed on  $\psi$ ; in particular, the integral of  $|\psi|^2$  over the whole domain must be finite. The eigenvalues then are exactly those values of  $E$  for which the Schrödinger equation admits such a solution. It turns out that these eigenfunctions are expressible in terms of the venerable special functions of mathematical physics, namely (associated) Legendre and (associated) Laguerre polynomials.

But exactly the same predictions (about the energy levels) were already made by the "old", *ad hoc*, Bohr-Sommerfeld quantum mechanics; the "new" wave- and matrix-quantum theories needed to predict facts that were beyond the scope of the old theory, thereby offering a crucial confirmation. That's why Schrödinger himself, Hylleraas, and many other physicists tried to derive the energy levels (alias eigenvalues) for two-electron atoms, whose Schrödinger equation, for the wave function  $\psi = \psi(x_1, y_1, z_1, x_2, y_2, z_2)$ , is

$$\left( \frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial y_1^2} + \frac{\partial^2}{\partial z_1^2} + \frac{\partial^2}{\partial x_2^2} + \frac{\partial^2}{\partial y_2^2} + \frac{\partial^2}{\partial z_2^2} + 2 \left( E + \frac{Z}{r_1} + \frac{Z}{r_2} - \frac{1}{r_{12}} \right) \right) \psi = 0,$$

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<sup>4</sup>available from <http://www.math.rutgers.edu/~zeilberg/akherim/pekeris1958.pdf> (viewed June 12, 2016)

where  $E$  and  $Z$  are as above, while  $r_1, r_2$  are the distances of the electrons from the nucleus, and  $r_{12}$  is their mutual distance.

The task turned out to be forbidding. There were some crude attempts to use perturbation theory, but none of their predictions came close to the experimental spectra already known then. It was a major challenge to vindicate the new quantum mechanics by computation. For once, the experimenters were ahead, and the theorists had to catch up.

### PEKERIS

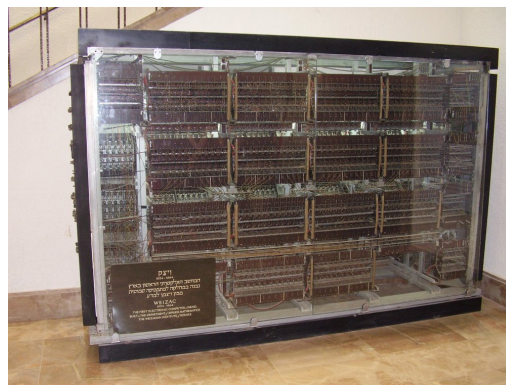
Chaim Leib Pekeris (1908–1993) had a brilliant idea how to catch up. With a computer, of course! He had a carefully laid-out approach, to be described soon, that would indeed give a very accurate prediction of the helium spectrum, given a powerful enough computer and a clever enough programmer.

Except that when he first had that idea, computers didn't yet exist, and when finally he had access to the JOHNNIAC, during his frequent long visits to the Institute for Advanced Study up to von Neumann's death (in 1957), it was not quite powerful enough, and at any rate was too busy, to pursue Pekeris's plan.

In addition to being a brilliant scientist, Pekeris was also an ardent Zionist. His good friend (another Chaim, and another scientist), Chaim Weizmann (1874–1952), invited him, already in 1947, to head the department of applied mathematics at the Ziv Institute (later renamed the Weizmann Institute of Science), and Pekeris agreed— in principle, but only on condition that they build a computer similar to the JOHNNIAC. A committee was formed, including no lesser figures than Albert Einstein and John von Neumann, to decide whether this was a good idea. Einstein believed not. In those days computers were very expensive, and he thought that such a poor, developing country could make better use of such a big chunk of money; but von Neumann managed to win Einstein over and the plan was approved. It took a few years to materialize, and finally they recruited one of the members of von Neumann's team, a visionary electrical engineer by the name of Gerald Estrin (b. 1921) [5]. Estrin recounts ([5], p. 319) that in one short conversation with von Neumann, shortly before his departure, he asked, "What will that tiny country do with an electronic computer?" John von Neumann responded: "Don't worry about that problem. If nobody else uses the computer, Pekeris will use it full time!" Estrin comments that this turned out to be an important prophecy that he often recalled.



C. L. Pekeris<sup>5</sup>



WEIZAC<sup>6</sup>

<sup>5</sup>Photo courtesy of Optik Foto Rutz AG, St. Moritz, Switzerland

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## PEKERIS'S CRAZY PLAN

The first step was standard. Using the symmetries of the problem, one sees that the wave function  $\psi$  of the *ground state* depends only on  $r_1, r_2, r_{12}$ , so one “merely” has to deal with functions of three variables, rather than six. The new partial differential equation, in variables  $r_1, r_2, r_{12}$ , is easily derived (Eq. (5) of [9]).

The next step (first suggested by H.M. James and A.S. Coolidge, see ref. 4 of [9]) was to make another change of variables, this time a linear one. After substituting  $E = -\varepsilon^2$ , introduce *perimetric coordinates*:

$$\begin{aligned} u &= \varepsilon(r_2 + r_{12} - r_1), \\ v &= \varepsilon(r_1 + r_{12} - r_2), \\ w &= 2\varepsilon(r_1 + r_2 - r_{12}). \end{aligned}$$

These new variables have the advantage that they range freely and independently from 0 to  $\infty$ . In contrast,  $r_1, r_2$ , and  $r_{12}$  are the lengths of the sides of a triangle (whose vertices are the two electrons and the nucleus), and so must obey the triangle inequality. In addition, the expected asymptotic behavior of  $\psi$ , deduced from the hydrogen (one-electron) case, suggested writing ([9], Eq. (13))

$$\psi = e^{-\frac{1}{2}(u+v+w)} F(u, v, w),$$

and letting  $F(u, v, w)$  be the function sought. Pekeris performed this change of variables — purely by hand — and derived a fairly hairy linear partial differential equation with polynomial coefficients, satisfied by  $F$ , that we do *not* reproduce here; the curious reader can either look it up ([9], Eq. (14)), or look at the computer output that is available from our webpages.

The next step was to express  $F(u, v, w)$  as a series expansion of products of (simple) Laguerre polynomials (Eq. (16) of [9]):

$$F = \sum_{l,m,n=0}^{\infty} A(l, m, n) L_l(u) L_m(v) L_n(w),$$

where  $L_n(x)$  denotes the Laguerre polynomial

$$L_n(x) = \sum_{k=0}^n \binom{n}{k} \frac{(-x)^k}{k!}.$$

Like all families of classical orthogonal polynomials, the Laguerre polynomials satisfy a pure (linear) differential equation, a pure (linear) recurrence equation, and a mixed differential-recurrence relation:

$$\begin{aligned} xL_n''(x) &= (x-1)L_n'(x) - nL_n(x), \\ xL_n(x) &= -(n+1)L_{n+1}(x) + (2n+1)L_n(x) - nL_{n-1}(x), \\ xL_n'(x) &= nL_n(x) - nL_{n-1}(x), \end{aligned}$$

the primes denoting differentiation with respect to  $x$ .

Now came an astounding feat! Pekeris substituted the expansion for  $F(u, v, w)$ , in terms of the yet-to-be-determined  $A(l, m, n)$ , into the above-mentioned linear differential equation (Eq. (14) of [9], politely not shown here), and using the above relations for the Laguerre polynomials got rid of all differentiations, and then, by using the pure recurrence, got rid of any monomials in  $u, v, w$ . Then he collected terms, and got — purely by hand — a huge *monster*, a 33-term linear partial recurrence equation with polynomial coefficients satisfied by the  $A(l, m, n)$ . Each of the coefficients of the 33 shifts  $A(l + \alpha, m + \beta, n + \gamma)$  that showed up was polynomial in  $l, m, n$  of degree 3, and of degree 1 in in the charge  $Z$  and the yet-to-be found  $\varepsilon$ .

We will kindly spare the reader this recurrence (and spare ourselves from typing it!), but the truly courageous reader can glance at Eq. (22) of [9]. We shudder to think of the pain of the poor typist who keyed this from Pekeris's hand-written manuscript, and the type-setter for *Physical Review*, not to mention Pekeris himself. They all deserve lots of credit. In his wonderful essay [5] (p. 331),

Estrin understates the point: the “appearance of this ugly 33-term recurrence would be enough to discourage most analysts.”

The recurrence yielded a homogeneous linear system of equations with  $\infty^3$  equations and  $\infty^3$  unknowns, that usually has no non-trivial solutions, but for some  $\varepsilon$ , the “eigenvalues”, the “determinant vanishes” and there are solutions. The largest eigenvalue is of primary physical relevance, for it corresponds to the ground state energy of the atom.

But even the most powerful computers can handle only finite systems! Hence the next step consisted in reducing to a finite, truncated version of the system, considering only those  $l, m, n \geq 0$  for which  $l + m + n \leq \omega$ , for some finite  $\omega$  and setting all the  $A(l, m, n)$  with  $l + m + n > \omega$  equal to 0. In addition, the system could be cut approximately in half by requiring either symmetry ( $A(l, m, n) = A(m, l, n)$ , the so-called *para states*) or antisymmetry ( $A(l, m, n) = -A(m, l, n)$ , the so-called *ortho states*).

If this was to be handled on a computer (even one which did not yet exist), one needed a convenient way to order linearly all the triplets of integers  $(l, m, n)$  with  $l + m + n \leq \omega$  and  $l \leq m$  in the symmetric case (resp.  $l < m$  in the antisymmetric case). For this Pekeris devised a fairly complicated bijective map  $k: \{(l, m, n) \in \mathbb{N}_0^3 \mid l \leq m\} \rightarrow \mathbb{N}$  which once again we spare the reader, but which can be found in Eqs. (27-29) of [9] (by the way, Eq. (28) contains a very rare misprint, there should be  $\frac{1}{2}(l + m)$  added to it).

It is not known when Pekeris devised this plan, but it was probably several years before he had access to a computer, so he just had to wait until Chaim Weizmann’s promised computer would materialize, carrying out the recommendation of the above-mentioned committee of Einstein, von Neumann et al. The difficulty of the problem that Pekeris faced becomes even more evident when taking into account that some closely related problems are still open. For example, it is experimentally known that all existing atoms can form negative ions with no more than one or two extra electrons, but there is no theoretical understanding of this phenomenon.

#### WEIZAC

We have already mentioned Estrin, the person chosen to head the team that would build from scratch the first Israeli electronic computer, and highly recommended his vivid account [5]. The WEIZAC team consisted of a cadre of young and talented electrical engineers (including Aviezri Fraenkel (b. 1929) who later did a Ph.D. in number theory, became, inter alia, an authority on combinatorial games, and pioneered the use of computers in religious studies).

Finally the computer was ready, and Pekeris was itching to use it on his many problems, including the spectrum of helium, but he needed a *programmer* (what today we would call a “software engineer”, but there was no such thing as software in those days). Not, of course, a Java programmer, nor a Fortran programmer, and not even an Assembly-language programmer. Back in 1957 these were yet to be invented. The only language that WEIZAC understood then was *machine language*, and the alphabet consisted of two letters only, 0 and 1 (via the 16-letter alphabet of hexadecimal). But how to find such programmers? Definitely not among graduates of computer science departments, for there were none.

What Pekeris did was ask his secretary to place classified ads in the daily newspapers, asking for high school graduates, after their military service, who attended the *megama re’alit* (math/science track).

#### ACCAD

Yigal Accad (b. 1936), fresh out of his military service, answered such an ad. In a recent e-mail message, dated May 7, 2010, Accad recalls:

On a 1957 Friday (or was it a Holiday Eve) that happened to be a non-working day at the Weizmann Institute, Prof. Pekeris unexpectedly drove his 1948 Studebaker to our residence at the southern edge of Rehovot. He invited me to join him in his office. Over there he pulled out a pile of handwritten papers and went with me

through many of the equations you can find in the 1958 paper, including Eq. (22). As I remember, this tour took at least 2 hours. At the end Prof. Pekeris asked me if I can handle this problem. There were only 2 possible answers to this question and the rest is history. This may have been the best risk I have taken.

Estrin goes on to state the following accolades ([5], p. 330):

There is a clear testimony to the fact that Yigal Accad had unusual ability to use WEIZAC as a tool with very little software between him and the machine semantics. That ability, when combined with his talents as an applied mathematician, was a significant factor in the ensuing problem-solving successes at the Weizmann Institute.

Accad became Pekeris's right-hand man for many years, and it is hard to imagine what Pekeris would have done without him. Pekeris appreciated Accad's invaluable work, and it was at his suggestion that Yigal, while working full-time as a software engineer, enrolled in the graduate school (after completing his undergraduate studies at Hebrew University) and incorporated some of the research into, first a master's thesis, in 1969, and then in 1973 a Ph.D. thesis, which was a far-reaching extension of the work we recount here).

Accad stayed at the Weizmann Institute from 1956 until 1989. Between 1977 and 1989 he also served as a consultant to the pioneering Israeli Hi-Tech company Scitex. In 1989 he moved to California and joined Electronics for Imaging (EFI), working there until 2008, ultimately becoming chief scientist.

#### THE PEKERIS-ACCAD-WEIZAC COLLABORATION

Indeed Accad was the perfect person to tame Pekeris's monster recurrence, to write (machine-language) programs to generate the truncated matrices, and to implement the iterative algorithm for estimating the largest eigenvalue. The impressive (for its time) WEIZAC output is displayed in Table III of [9] for values of the charge  $Z$  ranging from  $Z = 1$  to  $Z = 10$ . We are happy to report that our 2010 computations (on three different platforms) completely agree with that table, all the way to the last decimal digit.

In a follow-up paper, published a year later, Pekeris [10] (and of course, Accad and WEIZAC—but it would be more than 30 years later before any computer, Shalosh B. Ekhad, became co-author of a published paper!) treat the important special case of helium ( $Z = 2$ ) with a greater accuracy, and also consider the ortho state  $2^3S$ . Our computations agree with that paper, too.

2010

Of course, thanks to Moore's Law, all these computations can now be done much faster, and there is no reason for us to be proud that we can compute the eigenvalues within seconds with today's hardware and software, a task that kept WEIZAC busy round-the-clock for months: for example, a fixed-point multiplication took 1 millisecond on this early computer and the capacity of its memory was 4096 words (40 bits per word). But what is still remarkable and probably not so obvious: not only the WEIZAC part, the numeric computation that can now be done on every laptop, and the Accad part, challenging in machine language but today an easy exercise with high-level programming languages, but also, and *especially*, the Pekeris part can now be done much faster and mostly automatically, using computer algebra. Even more: in view of the gigabyte-sized recurrences that we can currently handle (see for example [7]) with symbolic software, the "monster recurrence" looks rather dwarfish. We don't know exactly how long it took Pekeris to derive the differential equation and the recurrence, but let's say 20 person-hours (including checking and rechecking); our program needs 0.108 seconds.

To be honest, it took us a couple of hours to *program* Maple and Mathematica to follow Pekeris's plan, but with almost the same effort, one could (and we did) program the *general problem*, that could be used again and again for many other differential equations in future problems. Our programs PEKERIS (for Maple, by DZ) and Pekeris.nb (for Mathematica, by CK) are indeed very

general: they basically can input *any* linear differential equation, in *any* number of variables, and *any* series of substitutions, and output the transformed differential operator. Also the recurrence for a Laguerre polynomial expansion is achieved completely automatically. Using the widely known concept of Gröbner bases (invented by Bruno Buchberger in 1965 and hence not yet available for Pekeris) it is also possible to perform the series expansion for any set of orthogonal polynomials of hypergeometric type. For this purpose, the defining equations for the family of polynomials are represented as a Gröbner basis, which makes sense when the relations are rewritten, in operator notation, as (noncommutative) polynomials. Having chosen an appropriate monomial order, the elimination of the differentials can be achieved by a simple reduction modulo the Gröbner basis. Similarly, by changing the underlying polynomial ring, the elimination of the continuous variables  $u, v, w$  can be done. Let us also remark that you don't need to be a Laguerre or a Pekeris to generate the relations for the Laguerre (and other orthogonal) polynomials. They are all routinely derivable (and provable) by the so-called Wilf-Zeilberger method [12], as implemented, e.g., in the Mathematica package `HolonomicFunctions` [6] that we employ in our program.

Modular techniques using Chinese remaindering and polynomial interpolation allow for computing the determinant *symbolically* up to quite large dimensions: for example, the determinant of the  $161 \times 161$  matrix (para case with  $\omega = 10$ ) is obtained in less than five minutes, yielding a polynomial in  $\varepsilon$  of degree 161 having integer coefficients with about 500 digits! It is clear that this strategy produces a lot of overhead, so that an alternative way is desirable. We reformulate the problem of finding the largest  $\varepsilon$  for which the determinant of  $M \in \mathbb{Z}[\varepsilon]^{n \times n}$  vanishes, as a *generalized eigenvalue problem*:

$$Av = \varepsilon Bv, \quad M = A - \varepsilon B \text{ with } A, B \in \mathbb{Z}^{n \times n}.$$

Although Maple and Mathematica are computer algebra systems for symbolic computations in the first place, they also offer quite some functionality for numerical computations, in particular for the above problem. But since we were not 100% satisfied with either—Maple was rather slow for the desired precision and Mathematica didn't allow higher precision than machine reals (6 decimal digits)—we tried with MATLAB, a software designated for numeric computations, especially in linear algebra. Notably, the program code for building the (sparse) matrices is itself computer-generated! It contains the 33 terms of the recurrence *hard-coded* to produce the matrix entries, and therefore certainly comes closer to Accad's machine-code program. We were very impressed by MATLAB's speed and accuracy. Computing all entries of Table III of [9] takes less than a second, and without much effort  $\omega$  can be increased to 60, corresponding to a  $20336 \times 20336$  matrix.

#### SOFTWARE AND SAMPLE OUTPUT

This article is accompanied by the Maple package PEKERIS, available from

<http://www.math.rutgers.edu/~zeilberg/mamarim/mamarimhtml/pekeris.html> ,

where the reader can also find lots of output files (and input files if they want to modify them to get more output) that reproduce and far extend the seminal 1958 computations of Pekeris, Accad, and WEIZAC. Further we provide the Mathematica notebook `Pekeris.nb` (for which the package `HolonomicFunctions` is required), and the MATLAB programs `PekerisPara.m` and `PekerisOrtho.m`, all available from

<http://www.risc.jku.at/people/ckoutsch/pekeris/> .

Our maplephone readers are welcome to play with the first package while the mathematicaphones would probably prefer the latter one. However, even people (shame on you!) who speak neither Maple nor Mathematica can appreciate the output files, written in plain humanese. The second-named author is particularly proud of the procedure `PaperPara` that fully automatically and seamlessly generates a whole article, ready to be submitted to *Physical Review*, without any human touch. Changing the parameters can produce many similar papers, see

<http://www.math.rutgers.edu/~zeilberg/tokhniot/oPEKERIS1> .

## CONCLUSION

This article is first and foremost an ode to the vision and ingenuity of computing pioneers, but it also makes the point that there are lots of hidden treasures in the “old” scientific literature, that can be revisited with today’s powerful symbolic computation software. We are not the first to advocate using symbolic computations in scientific computing, see for example [3] (unfortunately he was unaware of [13]), and the current impressive application to high-energy physics [4], but we believe that there is a huge potential for exploiting symbolic computation on problems that previously seemed intractable. This would complement the extensive use (and according to Nobelist Philip Anderson, excessive and sometimes abusive use [1]) of Monte Carlo methods. In particular, the Wilf-Zeilberger algorithmic proof theory [12] (and more importantly the subsequent generalizations to multi-summation and multi-integration [13, 2]), should be taught to all scientists. We would be more than happy if this article could seed future collaborations between symbolic computation and physics, chemistry, or other sciences.

## ENCORE

Many people, even today, are not comfortable with computer-generated or even computer-assisted proofs, like the four-color theorem or the Kepler conjecture: they are uncomfortable trusting the computer. While the “monster recurrence” discussed above was still derived purely by hand, Pekeris must have started using his own “symbolic” computation when he tackled seemingly intractable problems. Let us end with his prophetic words ([11], quoted in [5], p. 333):

Here we are confronted with problems where the computer writes the formulae as well as evaluates them. By the nature of their origin such formulae are very long—in many cases too long to be published. We shall therefore be dealing in the future with equations which only the computer will see. The prospect of operating with invisible equations is a frightening one, but the alternative is to accept the situation of the past, where problems have been staring at the applied mathematician for decades, and even more for centuries, without a practical solution being reached. A problem, like the tides of the oceans, for example, is not necessarily insoluble just because it had remained in the books for 184 years.

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